

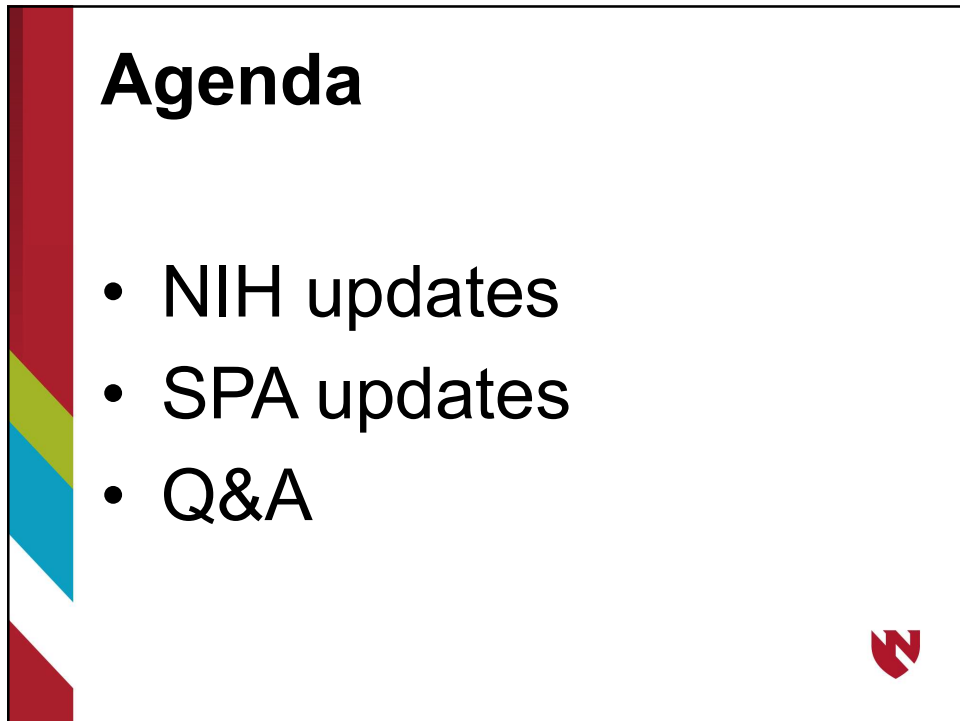


**Sponsored Programs Administration
Buzz Session**

October 23, 2024
12:10 – 1:00 PM CT




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Agenda

- NIH updates
- SPA updates
- Q&A



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NIH Updates



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NIH Operates Under a Continuing Resolution (NOT-OD-25-010) 10/10/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-25-010.html>

On September 26, 2024, President Biden signed the Continuing Appropriations and Extensions Act, 2025 (Public Law 118-83)

- Continues government operations through December 20, 2024, at FY24 levels (no reductions)
- I/Cs may, at their discretion, issue non-competing awards at a level below that indicated on the most recent NOA
 - Upward adjustments will be considered after FY25 appropriations; recipients are expected to monitor expenditures carefully
- The following FY24 notices remain in effect
 - Legislative Mandates (see NOT-OD-24-110)
 - Salary Limitation for Grants and Cooperative Agreements (see NOT-OD-24-057)
 - Ruth L. Kirschstein National Research Service Award predoctoral and postdoctoral levels (NOT-OD-24-104)



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Childcare Costs for Ruth L. Kirschstein National Research Service Award (NRSA) Individual Fellows and Institutional Research Training Awards (NOT-OD-24-116) 05/22/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-116.html>

Effective in FY24, NIH increased the childcare support to \$3,000 for applicable NRSA awards.

- For individual fellowships, each NRSA fellow may request \$3,000 per budget period to defray childcare costs
- For institutional training awards, NIH will provide an annual \$3,000 per each full-time predoctoral or postdoctoral NRSA trainee appointment slot at the time of the new, renewal, or continuation award

Effective in FY2025, NIH intends to provide childcare cost support to individuals supported as full-time recipients of *Predocctoral to Postdoctoral Fellow Transition Award (F99/K00)* programs during the F99 phase of the award.



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Childcare Costs for Ruth L. Kirschstein National Research Service Award (NRSA) Individual Fellows and Institutional Research Training Awards (NOT-OD-24-116) 05/22/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-116.html>

UNOP form:

NIH Fellowship or Training Grant Childcare Reimbursement Form

Childcare eligible for reimbursement includes (but is not limited to): Childcare at a day camp, nursery school, or by a private sitter; before- or after-school care, pre-schools; licensed day care centers; summer or holiday day camps. Departmental administrators should confer with their SPA Grant and Contract Officer (who may need to reach out to NIH) about other types of childcare. The fellow or trainee should complete all sections below.

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Signature of Department Approval for Funding Validation Date

Signature of Sponsored Programs for Program Eligibility Validation Date

8. Instructions for Submission:

Email completed form and receipts to invoices@nebraska.edu for payment processing. Fellow or Trainee will receive payment via State of Nebraska warrant mailed to their current address on file with HR.



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October 2024



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Retirement of NIH's Automated Just-in-Time Email Notification on October 1, 2024 (NOT-OD-24-165) - 08/23/2024
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-165.html>

Now

- NIH staff continue to email official requests for JIT materials
- New: DMSP section

Previously

- Commons sent auto-emails for all applications with an overall impact score of 30 or less



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NIH Implementation of 2 CFR Part 200

Revisions to Uniform Guidance went into effect October 1
<https://www.federalregister.gov/documents/2024/04/22/2024-07496/guidance-for-federal-financial-assistance>

NIH working closely with other HHS Operating Divisions and other federal research agencies to implement.

Note: Changes in UG related to indirect costs (e.g., subaward threshold for MTDC base) would not go into effect at UNMC until a rate new agreement is negotiated.



Data Management and Sharing



NIH Research Performance Progress Report Instruction Guide – October 2024

https://grants.nih.gov/sites/default/files/rppr_instruction_guide.pdf

Effective October 1, 2024

If the 2023 DMS Policy applies,* then the following must be included in C.5.c Data Management and Sharing

- By data type
 - Whether data has been generated to date
 - Whether data has been shared for use by others
 - If data has been shared, repository and unique digital identifiers
 - If data has NOT been shared, status of data sharing (e.g., being prepared, submitted to repository, not yet expected to be shared)
 - If data has not been generated and/or shared as outlined in approved DMS Plan, corrective actions to comply with the Plan
- Are significant prospective changes to the DMS Plan being requested for the coming year (e.g., change in repository, change in timeline, or change in scientific direction)?
 - If yes, description of the changes and revised DMS Plan for approval

* Applications for research projects submitted for receipt dates on or after January 25, 2023 (RPPR will indicate whether or not the 2023 DMSP is applicable)



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Updated Processes for Requesting Revisions to an Approved Data Management and Sharing (DMS) Plan (NOT-OD-24-176)

09/23/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-176.html>

Changes should be requested 30 days in advance

- Pre-Award
 - Just-in-Time
 - New DMSP section
- Post-Award
 - At Time of RPPR
 - Section C.5.c
 - Off-Cycle
 - Prior Approval Module – DMS Request



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Data Management and Sharing Policy

<https://sharing.nih.gov/data-management-and-sharing-policy>

Based on feedback received to date, including two pilots through the Federal Demonstration Partnership, NIH is looking to make changes:

- To the DMS Plan format
 - Utilize tables where possible
 - Utilize drop-down menus where appropriate
 - Use established lists/terms where possible
 - Only require limitations arising from consent/privacy considerations if data derived from humans will be generated
 - Word questions to provide additional guidance on expectations
- To the budgetary guidance
 - Establish common cost principles
 - Identify types of costs required
 - Determine how to identify additional/unforeseen costs that may be required to meet the spirit of the DMSP
 - Catalogue activities that should be included in a cost/effort calculator



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UNMC DMSP Resources – Writing your plan

<https://www.unmc.edu/spa/policies/nihdmisp/writing/dmptool.html>

<https://sharing.nih.gov/data-management-and-sharing-policy>

- DMPTool
- Requesting feedback: researchdata@unmc.edu
- Element 6: Oversight of Data Management and Sharing
 - *The following individual, XXXX, will ultimately be responsible for data collection, management, storage, retention, and dissemination of project data, including updating and revising the Data Management and Sharing Plan when necessary, and will report on data sharing and compliance in the annual project progress reports. This person is the Principal Investigator of the project, an XXXX Professor of XXXX at UNMC. Their email is xxxxx@unmc.edu. The other person is Research Project Coordinator in Dr. XXXX's lab, will also maintain the Data Management and Sharing Plan, and coordinate permissions with data repositories.*



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UNMC DMSR Resources - Budgeting

<https://www.unmc.edu/spa/policies/nihdmsr/writing/dmptool.html>

<https://sharing.nih.gov/data-management-and-sharing-policy>

Budgeting worksheet:

- https://www.unmc.edu/spa/policies/nihdmsr/_documents/unmc_dmsr_budget_worksheet.pdf

Reminders: "Data Management and Sharing Justification" section

- If modular budget, in Additional Narrative Budget Justification
- If detailed budget, in Budget Justification
- Recommended no more than half a page
 - Estimated dollar amount
 - Summary of type and amount of scientific data to be preserved and shared and the name of the established repositories
 - General cost categories (e.g., curating data, developing supporting documentation, local data management activities, preserving and sharing data through established repositories), amount for each, and brief explanation
 - If no costs will be incurred for DMS, so specify
- To be allowable, costs must be incurred during the period of performance. Costs beyond the PoP are generally unallowable



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January 2025



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New NIH "FORMS-I" Grant Application Forms and Instructions Coming for Due Dates on or after January 25, 2025 (NOT-OD-24-086) - 04/04/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-086.html>

Effective for applications submitted for due dates on or after January 25, 2025

Incorporates updates related to multiple NIH initiatives:

- Improvements to the NIH Fellowship Application and Review Process
- Updates to NRSA Training Grant Applications
- Common Forms for Biographical Sketch and Current and Pending (Other) Support - May 2025

FORMS-I application guides and packages will be available beginning in October 2024.



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Simplified Review Framework for NIH Research Project Grant Applications (NOT-OD-24-010) - 10/19/2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-010.html>

Effective for applications submitted for due dates on or after January 25, 2025

Reorganizes the five regulatory criteria into three factors to better focus peer reviewers on key questions needed to assess scientific and technical merit

- Factor 1: Importance of the Research (Significance, Innovation) - scored 1-9
- Factor 2: Rigor and Feasibility (Approach) - scored 1-9
- Factor 3: Expertise and Resources (Investigator, Environment) - evaluated for sufficiency



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Simplified Review Framework for NIH Research Project Grant Applications - Update and Implementation Plans (NOT-OD-24-085)

- 04/04/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-085.html>

- Activity codes: R01, R03, R15, R16, R21, R33, R34, R36, R61, RC1, RC2, RC4, RF1, RL1, RL2, U01, U34, U3R, UA5, UC1, UC2, UC4, UF1, UG3, UH2, UH3, UH5, R21/R33, UH2/UH3, UG3/UH3, R61/R33
- Updated application packages and instructions will be posted 30-60 days before due date



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Implementation of Revisions to the NIH and AHRQ Fellowship Application and Review Process (NOT-OD-24-107) - 04/18/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-107.html>

Effective for applications submitted for due dates on or after January 25, 2025

Activity codes: F30, F31, F32, F33, F99/K00

- Reorganizes the five current scored review criteria into three scored criteria
- Updates PHS Fellowship Supplemental Form to align with restructured criteria
- Revises instructions for Reference Letters



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Updates to NIH Institutional Training Grant Applications for Due Dates on or After January 25, 2025 (NOT-OD-24-129) - 05/31/2024
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-129.html>

Effective for applications submitted for due dates on or after January 25, 2025

Activity codes: T15, T32, T34, T35, T37, T90/R90, TL1, TL4, D43, D71, U2R, K12, KL2

- Recruitment Plan to Enhance Diversity will be its own attachment in the PHS 398 Research Training Program Plan Form
- Mentor training expectations will be more clearly defined in the parent T32 NOFO
- Institutional Training data tables will be updated to reduce burden and promote consistent information collection across training programs



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May 2025



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NIH's Adoption of Common Forms for Biographical Sketch and Current and Pending (Other) Support by May 25, 2025 (NOT-OD-24-163) 07/31/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-163.html>

Effective for applications and RPPRs submitted for due dates on or after May 25, 2025

- Science Experts Network Curriculum Vitae (SciENCv)
 - Commons Forms
 - NIH will implement Common Forms with no changes to any collection fields
 - New: NIH Biographical Sketch Supplement
 - NIH plans to continue collecting three required agency-specific data elements to assess qualifications
 - Personal Statement
 - Contributions to Science
 - Honors
- ORCID
 - Senior/Key Personnel will need to create an ORCID ID and link to their eRA Commons Personal Profile



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OTHER INITIATIVES



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Research Security



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Guidelines for Research Security Programs at Covered Institutions – 07/09/2024

<https://www.whitehouse.gov/wp-content/uploads/2024/07/OSTP-RSP-Guidelines-Memo.pdf>

Covered institutions will be required to certify that their research security programs include the following elements:

- **Research Security Training:** Institution requires covered individuals to complete research security training and each such covered individual has completed the research security training program.
- **Export Controls:** Institution requires covered individuals who perform R&D involving export-controlled technologies to complete training and that each such covered individual has completed training.
- **Cybersecurity:** Institution implements a cybersecurity program consistent with the cybersecurity resource for research institutions described in the CHIPS and Science Act within one year after the National Institute of Standards and Technology (NIST) of the Department of Commerce publishes that resource.
- **Foreign Travel Security:** Institution implements periodic training on foreign travel security and ensure that all such covered individuals take this training at least once every six years.



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Guidelines for Research Security Programs at Covered Institutions –

07/09/2024

<https://www.whitehouse.gov/wp-content/uploads/2024/07/OSTP-RSP-Guidelines-Memo.pdf>

OSTP defined covered institutions using the following threshold:

- *A participant in the U.S. R&D enterprise is a “covered institution” if and only if*
 - *(A) it is an institution of higher education, a federally funded research and development center (FFRDC), or a nonprofit research institution; and*
 - *(B) it receives in excess of \$50 million per year, in fiscal year 2022 constant dollars, under*
 - *(1) the three-year average of federal R&D obligations provided to participants in the U.S. R&D enterprise as reported in the most recent version of the Survey of Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions; or*
 - *(2) the three-year average of federal R&D obligations to FFRDCs as provided in the most recent versions of the Survey of Federal Funds for Research and Development.*

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Foreign Collaborations

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NIH Final Updated Policy Guidance for Subaward/Consortium Written Agreements (NOT-OD-23-182) - 09/15/2023
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-182.html>

Effective January 1, 2024

For foreign subrecipients, the written agreement must include a provision requiring the foreign subrecipient to provide access to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report to the primary recipient with a frequency of no less than once per year, in alignment with the timing requirements for Research Performance Progress Report submission. Such access may be entirely electronic.



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Guidelines for Federal Research Agencies Regarding Foreign Talent Recruitment Programs - 02/14/2024
<https://www.whitehouse.gov/wp-content/uploads/2024/02/OSTP-Foreign-Talent-Recruitment-Program-Guidelines.pdf>

- Covered individuals (i.e., senior/key personnel) are prohibited from participating in a federally funded research and development project if they are currently participating in a “malign foreign talent recruitment program”
- Individual and institutional certifications required
 - Individual certification is captured on the Common Forms for Biosketch and Current & Pending Support
 - NIH intends to incorporate the institutional certification related to MFTRP in its application instructions
- NIH will incorporate the MFTRP definition and the certification requirements in the FY25 NIH Grants Policy Statement



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NIH Decision Matrix for Assessing Potential Foreign Interference for Covered Individuals or Senior/Key Personnel

<https://grants.nih.gov/sites/default/files/NIH%20Decision%20Matrix%20for%20Assessing%20Potential%20Foreign%20Interference%20for%20Covered%20Individuals%20or%20Senior%202026%2024%20clean.pdf>

Rating	Factor 1: Foreign Talent Recruitment Program ⁶⁵	Factor 2: Foreign Funding ⁶⁷	Factor 3: Affiliation with Foreign Institutions or Entities ⁶⁸
<p>Mitigation measures required.</p> <p>Contact recipient institution for more information.</p>	<p>Indicators of active (ongoing) participation in a malign foreign talent recruitment program (MFTRP) meeting any of the criteria in Sec. 10638(4)(A)(i)-(ix) of the CHIPS and Science Act of 2022.</p> <p>[Note: this factor/rating is automatically disqualifying]</p>	<p>Indicators of undisclosed or incompletely disclosed active (ongoing) funding from a Foreign Country of Concern (FCOC) or an FCOC-connected entity</p>	<p>Indicators of an undisclosed or incompletely disclosed active (ongoing) affiliation with an institution or entity located in or connected to a Foreign Country of Concern (FCOC).</p>
<p>Mitigation measures recommended.</p> <p>Contact recipient institution for more information.</p>	<p>Within the past 5 years: Indicators of past participation in a malign foreign talent recruitment program (MFTRP) meeting any of the criteria in Sec. 10638(4)(A)(i)-(ix) of the CHIPS and Science Act of 2022.</p> <p>Or</p> <p>Indicators of undisclosed or incompletely disclosed active (ongoing) participation in a foreign talent recruitment program (FTRP) meeting any of the criteria in Sec. 10638(4)(A)(i)-(ix) of the</p>	<p>Within the past 5 years: Indicators of undisclosed or incompletely disclosed past funding from a Foreign Country of Concern (FCOC) or an FCOC-connected entity.</p> <p>Or</p> <p>Indicators of undisclosed or incompletely disclosed active (ongoing) funding from a foreign country or foreign entity that is not a Foreign Country of Concern (FCOC) or an FCOC-connected entity.</p>	<p>Within the past 5 years: Indicators of undisclosed or incompletely disclosed past affiliation with an institution or entity located in or connected to a Foreign Country of Concern (FCOC).</p> <p>Or</p> <p>Indicators of an undisclosed or incompletely disclosed active (ongoing) affiliation with an institution or entity located in or connected to a foreign country that is not a Foreign Country of Concern (FCOC).</p>



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Single Audit Requirements for Foreign Recipients and Subrecipients of NIH Grants (NOT-OD-24-151) 07/17/2024

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-151.html>

- Effective October 1, 2024, foreign recipients that expend \$750,000 (cumulative amount) or more in Federal awards during their fiscal year are required to conduct either a single audit, or program-specific audit (as required by the terms and conditions of award).
- A program-specific audit may not be elected unless all the Federal awards expended were received from NIH. The recipient must request prior approval from the funding Institute or Center prior to conducting a program-specific audit.



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Upcoming NIH Deadline



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ZOOM POLL

Which forms package should you use for an R01 resubmission due November 5 and why?



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NIH R01 Resubmissions and Renewals

Notify SPA by	Release Proposal and RSS IFs by	Proposal due to NIH by	FORMS	Review Criteria
Tuesday, October 22	COB Thursday, October 31	COB Tuesday, November 5	H	No change (<i>not</i> the Simplified Review Framework [SRF])

Develop Your Budget

<https://grants.nih.gov/grants-process/write-application/advice-on-application-sections/develop-your-budget>

- NIH does not have policy or instructions on how recipients should request budget escalations
- Applicants should request the actual costs needed to complete the science



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SPA Updates



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When a Ticket is **NOT** needed:

Following up with the other party on the status of a contract action.

Department Administrators and Coordinators are free to follow up directly with the other institution to check on the status of an Amendment or Subaward.

Where to look for the contact:

- The Letter of Intent UNMC forwarded
- Year 1 Subaward – Attachment 3A
- Previous Amendment - central email should be noted on front page.

Exceptions: If you are providing revised proposal documents or supporting materials for the Pass Thru Entity's RPPR or JIT – SPA will review and submit



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When a Ticket is **NOT** needed:

Financial Progress Reports

Sponsored Programs Accounting is responsible for the financial progress reports. You can reach out directly to SPAccounting on status.



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When a Ticket is **NOT** needed:

Any contracts that are being managed by UNeHealth

- Clinical Trial Agreements
- Internal No Cost Extensions for industry clinical trials. If the WBS ends in -888 it is a UNeHealth project and you can reach out to Amanda Leingang.



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Timing on Outgoing Subaward Tickets:

- The process in SPA is when the primary grant award is set up and released to SPAccounting – the Grant Analyst will create a ticket and hand off the subaward request to the K Team. This helps prevent duplication.
- If the primary award is set up and you do not see a Sub-Out ticket – please reach out to spadmin@unmc.edu and the Grant Analyst.

Exceptions: Pilot awards for active Grants. These can occur outside the normal grant set up flow – and if a subaward or amendment is needed, please feel free to create a ticket.



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What if you don't see a RPPR or JIT ticket?

If the central Zendesk email address was copied on the email notice sent from SPA (support@unmcspa.zendesk.com), a ticket is pending triage from a SPA manager – and once updated, it will be visible.



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Q&A

<https://www.unmc.edu/spa/training/past-sessions.html>



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