



**Sponsored Programs Administration
Buzz Session**

January 24, 2024
12:10 – 1:00 PM CT



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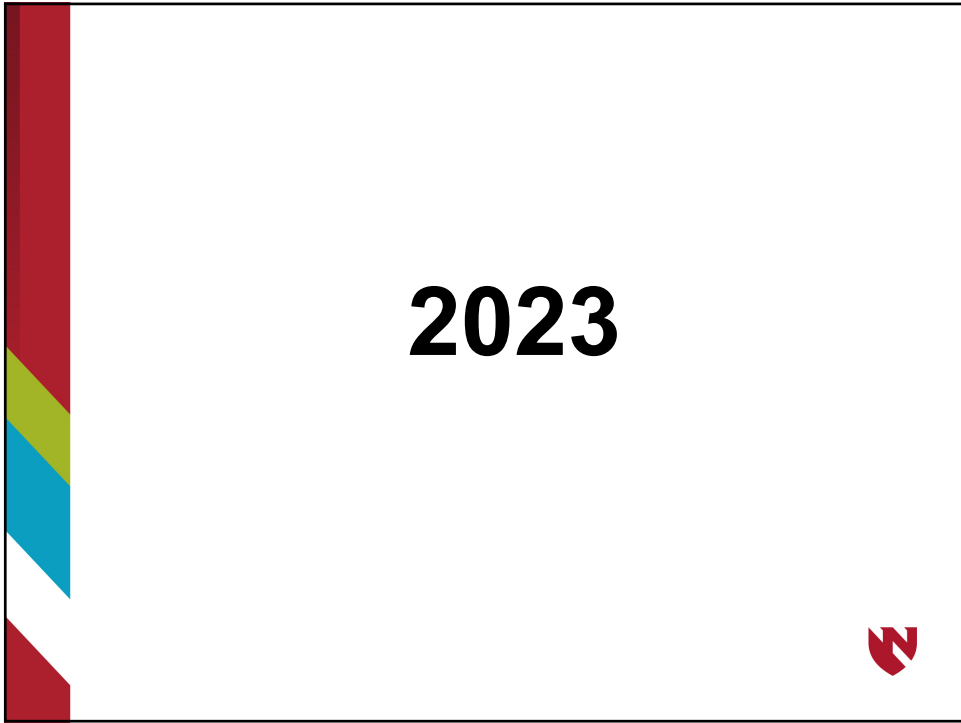


Agenda

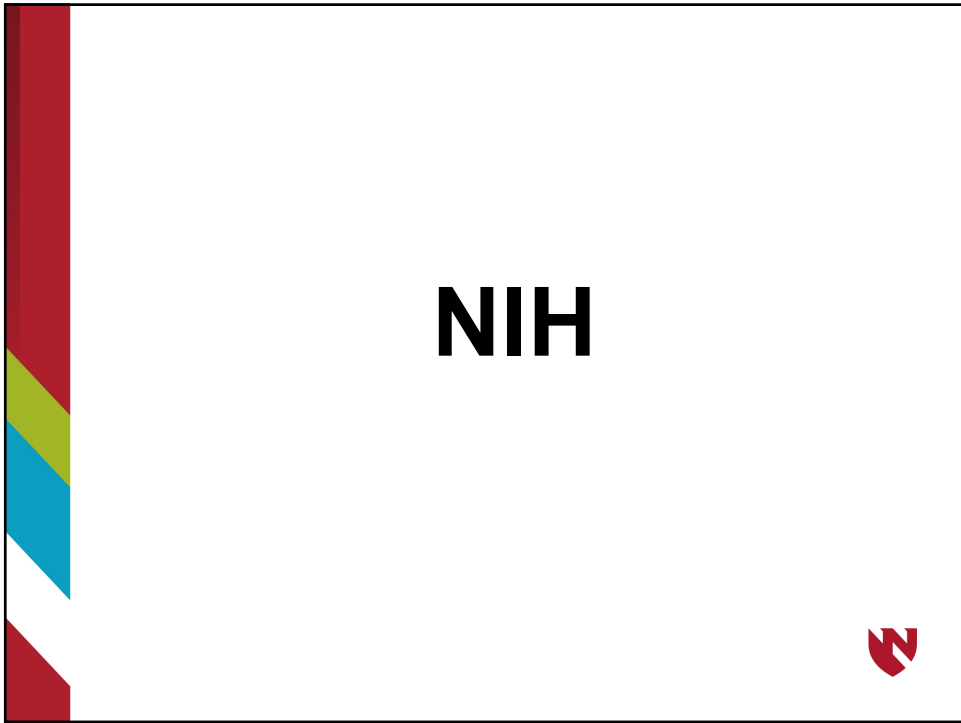
- Looking back at 2023
 - NIH
 - SPA
- Looking ahead to 2024
 - NIH
 - SPA



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Updates to Funding Opportunity Terminology (NOT-OD-23-109) – April 21, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-109.html>

Then	Now
Funding Opportunity Announcement FOA	Notice of Funding Opportunity NOFO



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Expiration of the COVID-19 Public Health Emergency (NOT-OD-23-095) – March 1, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-095.html>

Public health emergency terminated on May 11, 2023

- Emergency NOFOs no longer issued
- Many COVID-related flexibilities ended – e.g.
 - Exceptions to use of single IRB for multi-site research
 - Preliminary data in Post-submission Materials



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My PI submitted a Renewal application for a U01. Can they submit preliminary data as Post Submission Materials?

- Yes
- No
- It depends on the funding opportunity.



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Revising the NIH/AHRQ/NIOSH Post-Submission Material Policy (NOT-OD-23-106) – March 31, 2023 <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-106.html>

Mechanism	Type 1 (New and Resubmission of New application)	Type 2 (Renewal)	Type 3 (Competitive Revision)
R01	Allowed*	Not allowed	Not allowed
R21	Allowed*	Not allowed	Not allowed
R03	Allowed*	Not allowed	Not allowed
All others	Not allowed	Not allowed	Not allowed

*If NOFO allows preliminary data



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Reminder: NIH Policy for Data Management and Sharing effective on January 25, 2023 (NOT-OD-23-053) – January 25, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-053.html>

- Application
 - Upload a plan (DMPTool):
<https://www.unmc.edu/spa/policies/nihdmsp/writing/index.html>
- Budget for costs
 - **R&R Budget Form** – Section L. Budget Justification
 - **PHS 398 Modular Budget** – Section 2. Budget Justifications – Additional Narrative Justification
- JIT
- Post Award
 - Follow plan
 - Report on plan
 - Request prior approval for changes to plan



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NIH Application Instruction Updates – Data Management and Sharing (DMS) Costs (NOT-OD-23-161) – July 31, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-161.html>

Effective for October 5th due dates and later

- **Removed** requirement for “Data Management and Sharing Costs” line-item in detailed budgets
- However, the costs, while no longer separately budgeted, must be ‘specifically estimated’ in the ***Budget Justification*** or the ***Additional Narrative Justification***
- “No funds requested” may not suffice



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NIH Policy for Data Management and Sharing – Allowable Costs

<https://sharing.nih.gov/data-management-and-sharing-policy/planning-and-budgeting-DMS/budgeting-for-data-management-sharing>

Must be incurred during the performance period

- Curating data
- Developing supporting documentation
- Formatting data according to accepted community standards, or for transmission to and storage at a selected repository for long-term preservation and access
- De-identifying data
- Preparing metadata to foster discoverability, interpretation, and reuse
- Local data management considerations, such as unique and specialized information infrastructure necessary to provide local management and preservation
- Preserving and sharing data through established repositories, such as data deposit fees

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How much do your PIs generally budget for DMS costs?

- \$0
- > \$0

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Prior Approval Requests for Revisions to an Approved Data Management and Sharing (DMS) Plan Must be Submitted Using the Prior Approval Module (NOT-OD-23-185) – September 27, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-23-185.html>

Commons Prior Approval module	Other Request
Description	<ul style="list-style-type: none"> DMS Plan Revision
Date	<ul style="list-style-type: none"> Effective date of the requested changes
Justification Document (PDF)	<ul style="list-style-type: none"> Rationale and justification for the requested changes
Budget Document (PDF)	<ul style="list-style-type: none"> If the revised DMS Plan impacts the budget Information for current and future budget periods NOT a supplement request
Other Supporting Documents (PDF)	<ul style="list-style-type: none"> Revised DMS Plan

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NIH Final Updated Policy Guidance for Subaward/Consortium Written Agreements

NOT-OD-23-182

Background:

- NIH originally proposed that foreign subrecipients would need to provide additional documentation no less than every three months, including lab notebooks, data, and all documentation that supports research outcomes. (May, 2023)
- NIH noted this was not a new policy, but rather a clarification to comply with long-standing policy (September, 2023)



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Highlights of Final updated language:

15.2 Administrative and Other Requirements

- NIH expects recipients to ask potential **subrecipients**, at the application stage, to submit language in their letters of support indicating their awareness of these requirements and the subrecipient's willingness to abide by all requirements should an award be issued.



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Highlights of Final updated language:

15.2.1 Written Agreements

- For foreign subrecipients, a provision requiring the foreign subrecipient to provide access to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report, to the primary recipient with a frequency of no less than once per year, in alignment with the timing requirements for Research Performance Progress Report submission. Such access may be entirely electronic.



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UNMC



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The Ticker (<http://unmcspa.zendesk.com/>)

Form	G Team	K Team	2023
Submissions	X	X	2,552
Award Setup	X		752
FDP Subs		X	521
Open Negotiations		X	713
Total			4,659*

* Includes 121 BHECN form tickets



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Create a SPA ticket via email*

When you email support@unmcspa.zendesk.com, please include:

- A brief but concise description of your request
- The PID and IFID, if known
- The due date
- PI's name and dept
- Any other relevant details, **or URLs or attachments** for grant applications

This information will help the SPA Managers quickly triage the ticket to get it to the correct SPA Analyst.

*Dept Administrators can still create a ticket directly in the Ticker



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The Ticker (<http://unmcspa.zendesk.com/>)

When creating a ticket via email

- You do not need to CC your SPA analyst, as they will be notified via the Ticker
- Because the Support email will result in a new ticket, it should only be used to begin a new work task with SPA



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The Ticker (<http://unmcspa.zendesk.com/>)

To update a ticket

This Message is From an External Sender
This message came from outside your organization.

Non-UNMC email
You received this email from an external email address. The subject line is: **#10270 [unmcspa.zendesk.com] - MY TEST EMAIL**

Bethany DeCarolis (UNMC Sponsored Programs Administration)
Fri, 21, 2023, 1:34 PM CST

Status Updated: #1 [unmcspa.zendesk.com] Campus: Waiting Until Release to SPA for Review/Submission. The SI provide items for review/submission at least three business days before the sponsor's due date.

Thanks for letting us know.

You are an agent. Add a comment by replying to this email or www.ticket.in.zendesk.com/support/unmcspa.zendesk.com

Ticket # 10270
Status Pending
Requester Bethany DeCarolis
CCs -
Group SPA Analysts
Assignee Bethany DeCarolis
Priority Normal
Type Task
Channel By Mail

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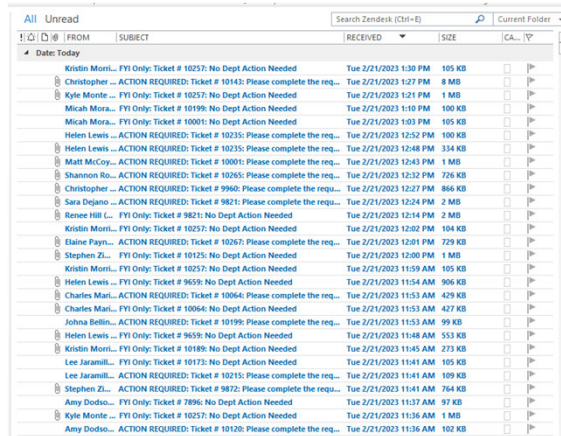
The Ticker (<http://unmcspa.zendesk.com/>)

ZD Status	SPA Status	Email subject line
Blue "Pending" icon	Ball is in campus's court	ACTION REQUIRED: Ticket # 12345: Please complete the requested action(s).
Orange "Open" icon	Ball is in SPA's court	FYI Only: Ticket # 98765: No Dept Action Needed

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The Ticker (<http://unmcspa.zendesk.com/>)

SPA recommends creating a Rule in Outlook to move emails to a designated folder.



FROM	SUBJECT	RECEIVED	SIZE
Kristin Morri...	FYI Only: Ticket # 10257: No Dept Action Needed	Tue 2/21/2023 1:30 PM	105 KB
Christopher ...	ACTION REQUIRED: Ticket # 10143: Please complete the req...	Tue 2/21/2023 1:27 PM	8 MB
Kyle Monte ...	FYI Only: Ticket # 10257: No Dept Action Needed	Tue 2/21/2023 1:21 PM	1 MB
Micah Mora...	FYI Only: Ticket # 10199: No Dept Action Needed	Tue 2/21/2023 1:10 PM	100 KB
Micah Mora...	FYI Only: Ticket # 10081: No Dept Action Needed	Tue 2/21/2023 1:03 PM	105 KB
Helen Lewis ...	ACTION REQUIRED: Ticket # 10235: Please complete the req...	Tue 2/21/2023 12:52 PM	100 KB
Helen Lewis ...	ACTION REQUIRED: Ticket # 10235: Please complete the req...	Tue 2/21/2023 12:48 PM	334 KB
Matt McCoy...	ACTION REQUIRED: Ticket # 10001: Please complete the req...	Tue 2/21/2023 12:43 PM	1 MB
Shannon Ro...	ACTION REQUIRED: Ticket # 10265: Please complete the req...	Tue 2/21/2023 12:32 PM	726 KB
Christopher ...	ACTION REQUIRED: Ticket # 9960: Please complete the req...	Tue 2/21/2023 12:27 PM	866 KB
Sara Dajano ...	ACTION REQUIRED: Ticket # 9821: Please complete the req...	Tue 2/21/2023 12:24 PM	2 MB
Renee Hill L...	FYI Only: Ticket # 9821: No Dept Action Needed	Tue 2/21/2023 12:14 PM	2 MB
Kristin Morri...	FYI Only: Ticket # 10257: No Dept Action Needed	Tue 2/21/2023 12:02 PM	104 KB
Elaine Paym...	ACTION REQUIRED: Ticket # 10267: Please complete the req...	Tue 2/21/2023 12:01 PM	729 KB
Stephen Zi...	FYI Only: Ticket # 10125: No Dept Action Needed	Tue 2/21/2023 12:00 PM	1 MB
Kristin Morri...	FYI Only: Ticket # 10257: No Dept Action Needed	Tue 2/21/2023 11:59 AM	105 KB
Helen Lewis ...	FYI Only: Ticket # 9659: No Dept Action Needed	Tue 2/21/2023 11:54 AM	906 KB
Charles Marl...	ACTION REQUIRED: Ticket # 10064: Please complete the req...	Tue 2/21/2023 11:53 AM	429 KB
Charles Marl...	FYI Only: Ticket # 10064: No Dept Action Needed	Tue 2/21/2023 11:53 AM	427 KB
Johna Belli...	ACTION REQUIRED: Ticket # 10199: Please complete the req...	Tue 2/21/2023 11:53 AM	99 KB
Helen Lewis ...	FYI Only: Ticket # 9659: No Dept Action Needed	Tue 2/21/2023 11:48 AM	553 KB
Kristin Morri...	FYI Only: Ticket # 10180: No Dept Action Needed	Tue 2/21/2023 11:45 AM	273 KB
Lee Jaramill...	FYI Only: Ticket # 10173: No Dept Action Needed	Tue 2/21/2023 11:41 AM	105 KB
Lee Jaramill...	ACTION REQUIRED: Ticket # 10215: Please complete the req...	Tue 2/21/2023 11:41 AM	109 KB
Stephen Zi...	ACTION REQUIRED: Ticket # 9872: Please complete the req...	Tue 2/21/2023 11:41 AM	764 KB
Amy Dodso...	FYI Only: Ticket # 7896: No Dept Action Needed	Tue 2/21/2023 11:37 AM	97 KB
Kyle Monte ...	FYI Only: Ticket # 10257: No Dept Action Needed	Tue 2/21/2023 11:36 AM	1 MB
Amy Dodso...	ACTION REQUIRED: Ticket # 10120: Please complete the req...	Tue 2/21/2023 11:36 AM	102 KB

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Process for IACUC Congruency Review

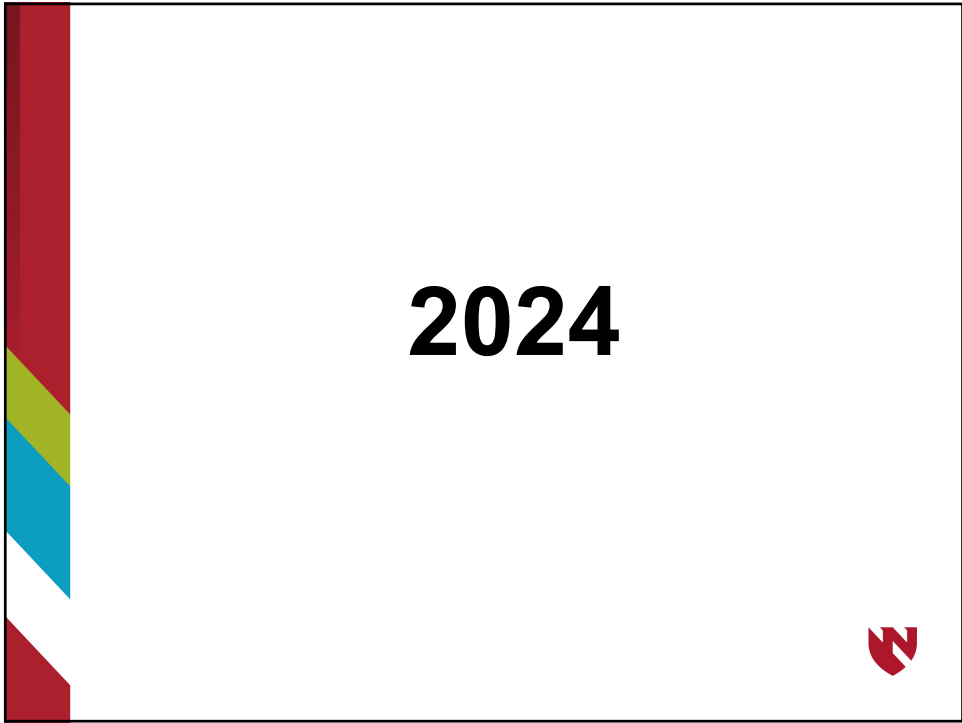
<https://unmcspa.zendesk.com/hc/en-us/articles/19255501506455-process-for-IACUC-Congruency-Review-Grant-Compare>

New form:

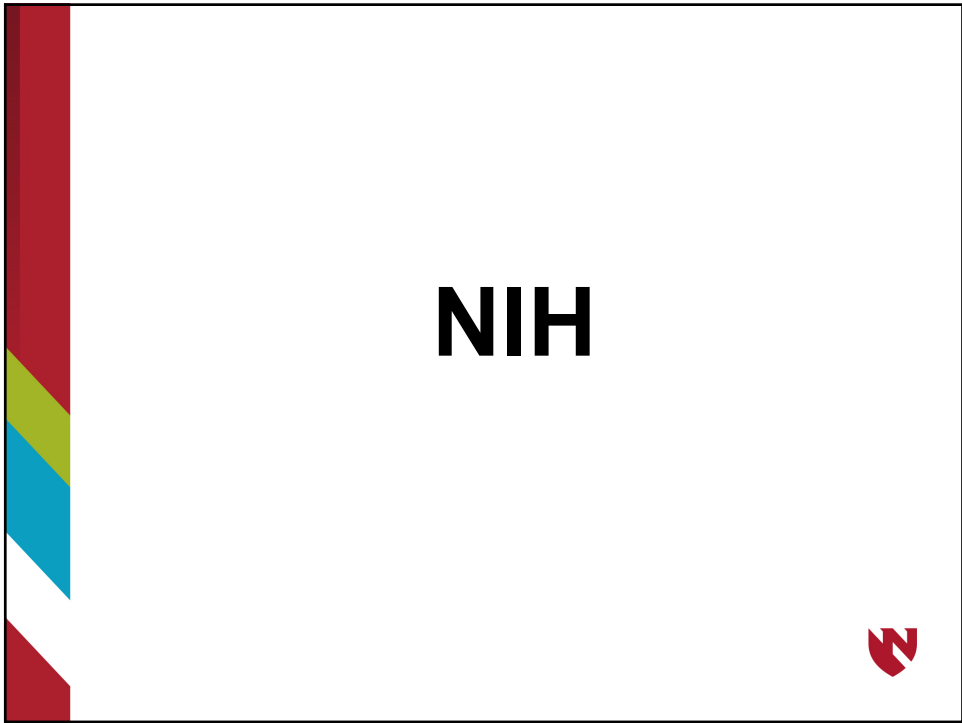
https://unmcspa.zendesk.com/hc/en-us/article_attachments/19613313374359

1. Are all of the experiments in the application's VAS (Vertebrate Animal Section) described in the approved IACUC protocol(s)?
2. Which aims in the approved protocol correspond to experiments described in the application's VAS?

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Do all Senior/Key Personnel on an NIH application need a Commons ID?

- Yes
- No
- It's recommended but not required.



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Reminder of eRA Commons ID Requirement for All Personnel on the R&R Senior/Key Person Profile Form (NOT-OD-24-042) – December 21, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-042.html>

Commons ID in “Credential, e.g. agency login” field

- Has been a **warning** since April 2021
- Is anticipated to become an **error** in mid-January 2024



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Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel (NOT-OD-21-019) – April 20, 2021
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-019.html>

Why?

- To improve data collection for individuals contributing to federally funded research
- To disambiguate data on applications
- To identify conflicts of interest in peer review

Reminders:

- An individual with a scientific role should have only one Commons account during their research career
 - Program Directors, Principal Investigators, Scientist, Post-Doc, Graduate Students, Undergraduate Students, Project Personnel
- A Commons account can be affiliated or un-affiliated with multiple institutions

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The federal government is funded through when?

- March 1
- March 8
- September 30
- The government is shutdown.

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NIH Operates Under a Continuing Resolution (NOT-OD-24-039) – December 14, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-039.html>

- Government operations continue through 02/02/2024 at FY23 level
- NIH will issue non-competing awards at a level below that indicated on most recent NOAs
 - Upward adjustments are possible
 - Institutions should monitor expenditures carefully
- All FY23 legislative mandates remain in effect
 - The current salary cap levels remain the same until the Executive Salary Levels change; typically, January 2024.



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SPA is keeping an eye out for:

- Notice of Legislative Mandates
- Notice of Fiscal Policies
- Guidance on Salary Limitation for Grants and Cooperative Agreements
 - OPM has posted 2024 rates (Executive level II = \$221,900): <https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2024/EX.pdf>
 - NIH hasn't updated salary summary (\$212,100): https://grants.nih.gov/grants/policy/salcap_summary.htm
- Ruth L. Kirschstein National Research Service Award (NRSA) Stipends, Tuition/Fees and Other Budgetary Levels



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Simplified Review Framework for NIH Research Project Grant Applications (NOT-OD-24-010) – October 19, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-010.html>

Effective for due dates on or after January 25, **2025**

Current	Anticipated
Significance	Factor 1: Importance of the Research
Innovation	
Approach	Factor 2: Rigor and Feasibility
Investigators	Factor 3: Expertise and Resources
Environment	

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Simplified Review Framework for NIH Research Project Grant Applications (NOT-OD-24-010) – October 19, 2023

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-010.html>

- Reviewer guidance in early 2025
- Applicant guidance in mid 2024

How will the Simplified Review Framework affect the development of grant applications?

<https://grants.nih.gov/faqs#/simplifying-review.htm?anchor=56988>

- Not expected to change the application materials submitted by applicants
- NIH is developing training materials



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Register for a **free virtual** NIH training

2024 NIH Grants Policy Updates
<https://grants.nih.gov/learning-center/2024-grants-policy-updates>


January 31, 2024
12:00 – 1:30 PM CT



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UNMC



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Federally negotiated rate agreement

Current agreement dated 11/16/2023

https://www.unmc.edu/spa/about/unmc_rate_agreement.pdf

Draft fringe benefit rates have been announced but not entered into ADIS Internal Forms.

UNMC Fringe Benefits Rates	APPROVED		Draft
	FY23	FY24	FY25
Fringe Group			
Faculty	27.8%	29.3%	29.9%
House Officers	22.4%	23.4%	24.9%
Staff	31.9%	31.4%	30.1%
Post Docs	26.1%	24.6%	20.0%
Graduate Assistants	0.5%	0.5%	0.4%
Students	1.3%	2.3%	3.8%
Temp	7.8%	7.8%	7.5%



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Other SPA items:

- SPA Grant Analyst Assignments by Unit
 - May revise, based on 2023 ticket volumes
- Working with NU Foundation
 - New guidance forthcoming
- SPA's website
 - Will revise in 2024
- January 12th campus data migration
 - Any issues with SPA modules in ADIS or RSS?
- COI-SMART disclosures due March 31
 - SPA will use 2023 information to evaluate potential COIs through March 31, if 2024 information is not available
- Nebraska Opioid RFP
 - Stay tuned; may be reissued



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Upcoming internal deadline

February 5th NIH R01 submissions

<https://www.unmc.edu/spa/grants/internal-deadlines.html>

Notified SPA by	Monday, January 22
Release proposal, ADIS IFs to SPA by	Close of business Wednesday, January 31 <i>If complex grant, international collaboration, subcontract out, or voluntary cost-sharing</i> Close of business Monday, January 29



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Q&A<https://www.unmc.edu/spa/training/past-sessions.html>

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