

# **Institutional Review Board**

**Investigator Guidance Series** 

# Humanitarian Use Device (HUD) (HRPP 6.3)

## **Description:**

This policy describes UNMC's requirements for the use of a medical device that has a Humanitarian Use Device (HUD) designation.

### **Definitions:**

<u>Humanitarian Use Device (HUD):</u> intended to benefit patients by treating or diagnosing a disease or condition that affects not more than 8,000 individuals in the US per year. **An HUD** is a legally marketed device and not investigational.

<u>Humanitarian Device Exemption (HDE):</u> Pre-Market approval application which is exempt from the requirement of establishing a reasonable assurance of effectiveness.

#### IRB Review/Assessment:

- The use of an HUD after review and approval by the IRB does not constitute human subject research.
- Collection of safety and efficacy data about an HUD to support an application for a premarketing approval constitutes a clinical investigation subject to 21 CFR 50, 56.
  - If data can be collected in a clinical investigation for the HDE-approved indication, no IDE is required. If a different indication, FDA-approved IDE is required.
  - If data is being collected in a clinical investigation for a different indication than the HDE-approved indication:
    - IRB required to make an SR/NSR determination.
    - If SR, IDE is required.



- Written informed consent is NOT required from patients receiving an HUD if all the following are met:
  - 1) Patient is confronted by life-threatening or severely debilitating situation necessitating use of a test article.
  - 2) Informed consent cannot be obtained from the patient due to the inability to communicate with or obtain legally effective consent.
  - 3) Time not sufficient to obtain consent from the patient's LAR.
  - 4) There is no alternative method available that is approved or generally recognized that provides equal or greater likelihood of saving the patient's life.